

## Subshifts

A set  $\Sigma \subseteq A^{\mathbb{Z}^2}$  is **translation invariant** if

$$\tau(\Sigma) = \Sigma$$

for every  $\tau \in \mathbb{T}$ . It is enough to verify that  $\sigma_e(\Sigma) = \Sigma$  and  $\sigma_n(\Sigma) = \Sigma$ .

A topologically closed, translation invariant set is a (two-dimensional) **subshift**. The entire configuration space  $A^{\mathbb{Z}^2}$  is also called the (two-dimensional) **full shift** over  $A$ .

The system  $(\Sigma, \mathbb{T})$  is also a dynamical system, a **subsystem** of  $(A^{\mathbb{Z}^2}, \mathbb{T})$ .

A finite **pattern** over  $A$  is a pair  $(D, p)$  where  $D \subseteq \mathbb{Z}^2$  is finite, the domain of the pattern, and  $p : D \rightarrow A$ .

(We often omit  $D$  from the notation and talk about a pattern  $p \in A^D$ , the domain  $D$  being implicitly assumed.)

Let us denote by  $P(A)$  the set of **all finite patterns** over  $A$ . Clearly  $P(A)$  is countable as the number of finite subsets of  $\mathbb{Z}^2$  is countable.

Pattern  $p \in A^D$  is a **subpattern** of a configuration  $c \in A^{\mathbb{Z}^2}$  if  $c|_D = p$ .

Configurations that have  $p$  as a subpattern form the cylinder

$$[p] = \{c \in A^{\mathbb{Z}^2} \mid c|_D = p\}.$$

This is of course the same cylinder as  $\text{Cyl}(c, D)$  for any configuration  $c$  in the cylinder.

We say that the pattern  $p \in A^D$  **appears** in  $c$  if  $p$  is a subpattern of  $\tau(c)$  for some translation  $\tau \in \mathbb{T}$ :

For any configuration  $c$  let **Patt**( $c$ ) be the set of all finite patterns that appear in  $c$ :

$$\text{Patt}(c) = \{p \in A^D \mid \exists \tau \in \mathbb{T} : \tau(c)|_D = p\}.$$

For any set  $S \subseteq A^{\mathbb{Z}^2}$  of configurations we denote

$$\text{Patt}(S) = \bigcup_{c \in S} \text{Patt}(c)$$

for the set of finite patterns that appear in some elements of  $S$ .

For any set  $P$  of finite patterns we define the set

$$\Sigma(P) = \{c \in A^{\mathbb{Z}^2} \mid \text{Patt}(c) \cap P = \emptyset\}$$

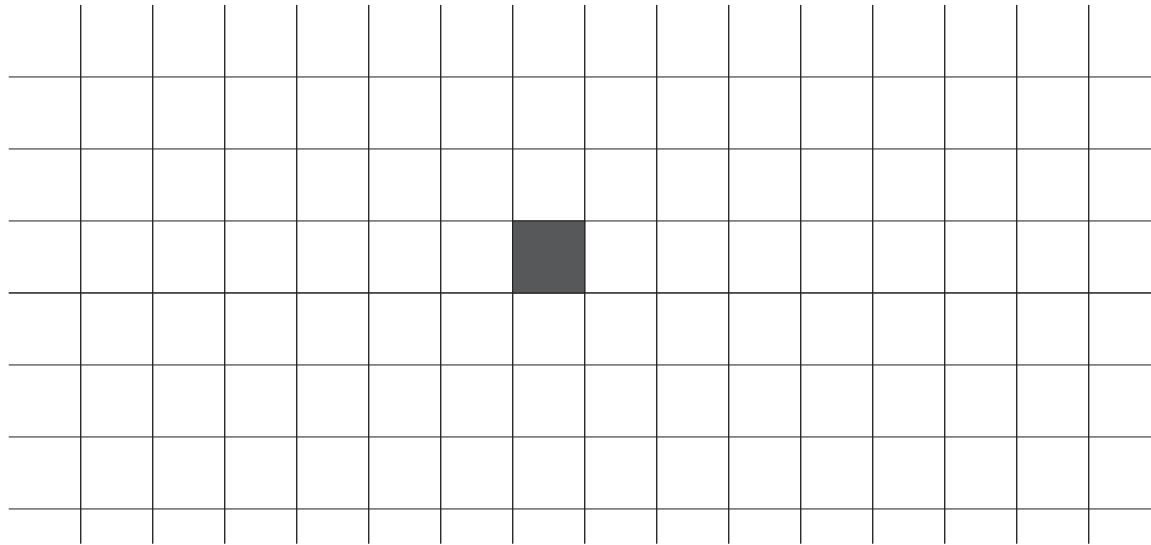
of configurations in which no element of  $P$  appears.

$$\Sigma(P) = \{c \in A^{\mathbb{Z}^2} \mid \text{Patt}(c) \cap P = \emptyset\}$$

**Theorem.**  $\Sigma$  is a subshift if and only if  $\Sigma = \Sigma(P)$  for some set  $P$  of finite patterns.

**Proof.**

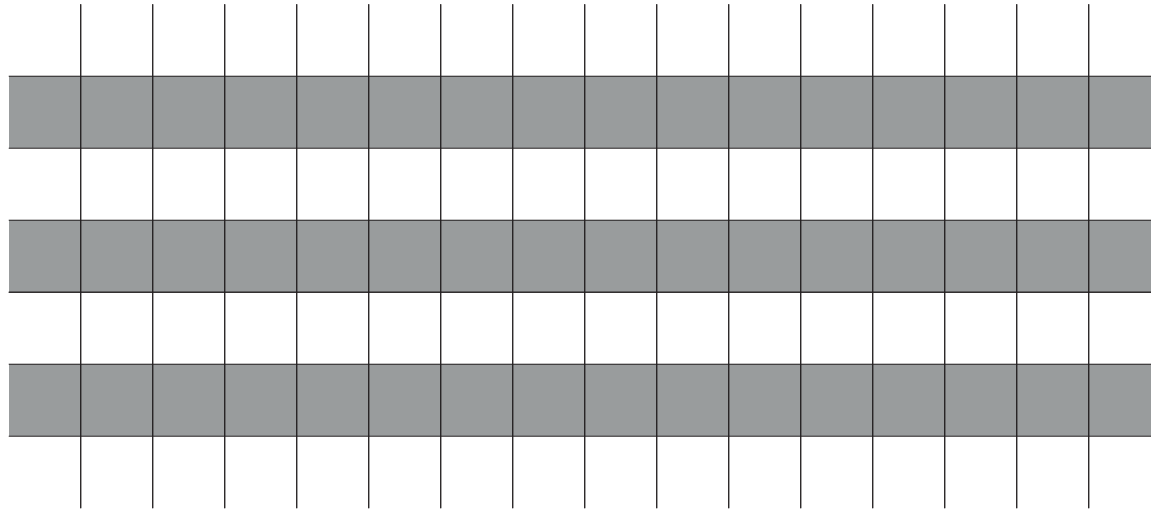
**Example.** The set consisting of the configurations with a single black cell on a white background, and the all white configuration:



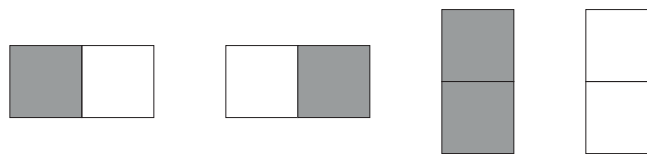
This is translation invariant and topologically closed. So it is a subshift (the **sunny-side-up** subshift).

It is defined by forbidding all patterns  $p \in \{0, 1\}^D$  where  $|D| = 2$  and  $p(i, j) = 1$  for both  $(i, j) \in D$ .

**Example.** The set of the two configurations where black and white horizontal rows alternate:

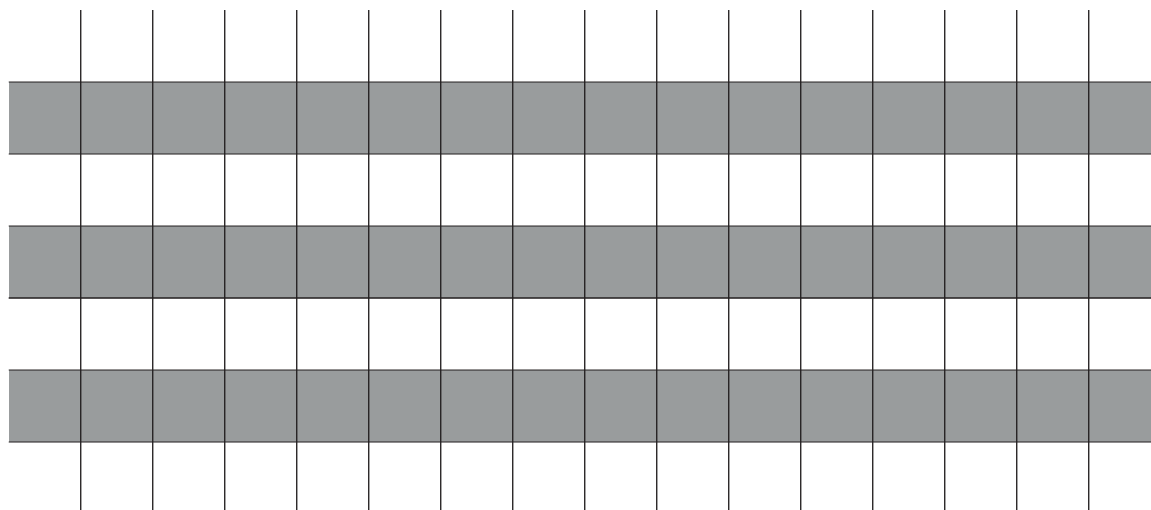


This is the subshift  $\Sigma(P)$  determined by forbidding the patterns

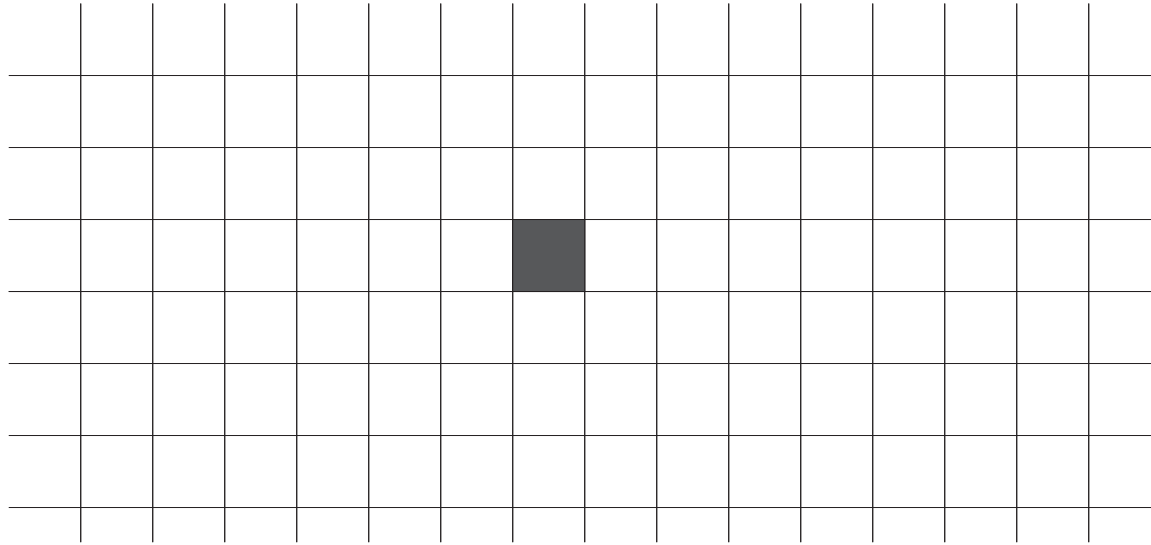


Subshifts  $\Sigma(P)$  for finite  $P$  are called **subshifts of finite type (SFT)**. So a SFT can be specified by giving a finite collection  $P$  of forbidden patterns.

**Example.** The alternating stripes subshift is an SFT.



**Example.** The sunny-side-up subshift is **not** an SFT.





The set  $V(T)$  of valid tilings by a Wang tile set  $T$  is an SFT.

Conversely, we have seen a method of turning any SFT  $\Sigma$  into an “equivalent” set  $T$  of Wang tiles. In this construction the Wang tiling corresponding to a configuration  $c \in \Sigma$  is obtained by **sliding an  $n \times n$  window** across  $c$  and reading the patterns (which are the tiles) inside the window.

The “sliding window” function

$$h : \Sigma \longrightarrow V(T)$$

is a continuous bijection. It also commutes with all translations:

$$h \circ \tau = \tau \circ h$$

for all  $\tau \in \mathbb{T}$ .

A translation commuting continuous bijection is called a **conjugacy** between the dynamical systems  $(\Sigma, \mathbb{T})$  and  $(V(T), \mathbb{T})$ . Conjugacies are the isomorphisms between dynamical systems. Conjugate dynamical systems are “the same”.